Recommendation from FERS was passed by FIAC unanimously. Revisions from previous version are highlighted to clarify how Chairs will document additional teaching observations.

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**Appointment to Faculty Rank**

**Assistant Professor**

The initial appointment to the rank of Assistant Professor is for a probationary ~~two~~four-year term. Unless at any point the Assistant Professor is not reappointed, he/she will be reappointed one additional ~~two~~three-year term ~~and one three-year term~~ before a decision is made to recommend permanent tenure at the same or higher rank or not to reappoint.

At least ~~180 calendar days~~ one year before the end of the first ~~two~~four-year appointment, the Assistant Professor will receive written notice whether, when his/her current term expires, he/she will be reappointed at the rank of assistant professor for an additional ~~two~~three-year term or not reappointed. ~~Before the end of the first year of the second two-year term as Assistant Professor, the Assistant Professor will receive written notice~~

~~whether, when his/her current term expires, he/she will be reappointed to a three-year term or not~~

~~reappointed.~~ Before the end of the second year of the three-year term as assistant professor, the Assistant Professor will receive written notice whether, when his/her current term expires, he/she will be reappointed with permanent tenure at the same or higher rank or not be reappointed.

The failure to give the required notice of a decision not to reappoint at any point herein required has the

same effect as a decision at that time to offer a terminal appointment at the same rank for one academic year. The decisions herein required will be made as provided in the section below on “Initiation, Review, and Approval of Appointments, Promotions, and Reappointments.” ~~Promotion at any time from the rank~~ ~~of Assistant Professor to the rank of Associate Professor constitutes an initial appointment to the first~~ ~~three-year term at the latter rank under the terms and conditions described below in the section on~~ ~~“Associate Professor.”~~

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**Procedures for Contract Renewal Evaluations and Advisory Evaluations of Untenured Tenure-**

**Track Faculty**

Tenure-track faculty members receive a comprehensive contract renewal evaluation in their ~~first~~thirdyear of employment at the University according to the Calendar found below. Faculty will be evaluated according to the calendar found in the Faculty Handbook which was in place at the time of their initial employment. Faculty who do not fall into the normal timeline (i.e. Those hired in Spring, given credit towards P&T from previous experience, etc.) should consult with their Chair and Dean to determine evaluation timeline. In subsequent years, a major evaluation for untenured faculty is optional at the discretion of the faculty member or Department Chair.

These evaluations, if initiated by the Department Chair, may be for cause or, at the discretion of either the faculty member or Chair, may be advisory in nature. Peer evaluations of visiting faculty are at the option of the Department Chair, the appropriate Dean, and the Provost and Vice Chancellor for Academic Affairs.

The procedures for these evaluations generally follow the procedures specified for tenure and/or

promotion. Faculty members undergoing contract renewal evaluations are to collect student evaluations of their courses. Observation of teaching by the Department Chair and by members of the Peer Evaluation Committee is even more important to the evaluation process. The Chair’s observations of teaching during the first two years of employment will be included in Chair’s annual evaluation reports for years 1 and 2 and the Chair’s evaluation report for probationary review should address the progress the faculty member has made in the area of teaching.

The faculty member being evaluated for an initial contract renewal must submit the materials listed below to the electronic portfolio system. Portfolios submitted for contract renewal evaluations subsequent to the initial probationary evaluation should also include copies of signed Department Chair annual evaluations.

1. The completed Peer Evaluation Nomination Form

2. A current Curriculum Vitae

3. An Expanded Self Evaluation Report covering full term of employment at institution

4. The Disciplinary Statements in effect for the faculty member’s department.

5. Copies of signed Department Chair’s Annual Evaluations including summaries of teaching evaluations.

5. 6. Student Evaluation Reports for all courses evaluated during the evaluation period. ~~In case of a~~

~~one-year contract renewal, the Department Chair will provide these reports to the Peer~~

~~Evaluation Committee by the Department Chair as the evaluation period begins before the~~

~~end of the first semester. (See Calendar below).~~

6. 7. Documentation of effectiveness in teaching: Include course syllabi for a selection of courses

taught at UNCP and course materials (selected assignments, handouts, PowerPoint slides,

tests, student work, etc.) for one General Education course (if applicable), one upper division

course (if applicable), and one graduate course (if applicable).

~~7.~~ 8. Documentation of scholarship and other professional activity in the faculty member’s

discipline: Include conference papers/posters, publications, reviews, books, creative work,

recordings, programs, conferences attended, copies of works in progress.

~~8.~~ 9. Documentation of service: Include relevant materials that illustrate significant contributions.

The Department Chair completes a Chair’s Evaluation Report and submits the report as described in the

section on “Procedures for Tenure and/or Promotion Evaluation.” The Peer Evaluation Committee (if

convened) submits a Peer Evaluation Report as described in the section on “Procedures for Tenure and/or Promotion Evaluation.” The Dean of the relevant school or college reviews the reports from the Chair and the Peer Evaluation Committee as well as any rebuttals by the faculty member. The Dean then completes the Dean’s Report of Contract Renewal Evaluation and submits it with all supporting materials to the Provost and Vice Chancellor for Academic Affairs.

The Provost and Vice Chancellor for Academic Affairs reviews all the evaluative materials and

recommends to the Chancellor whether or not to reappoint the candidate. The Chancellor makes the final decision on reappointment. Conditions governing non-reappointment are listed in the Faculty Handbook, Section II, Chapter 1; note that the faculty member’s competence is not the only factor considered in reappointment decision. The Code of the Board of Governors of the University of North Carolina also specifies deadlines for notification of non-reappointment.

A tenure-track faculty member or his or her Department Chair may initiate an advisory evaluation any

time during the tenure-track process. Such evaluations are proactive steps to help faculty members

improve performance and become more able to achieve tenure. The Department Chair may appoint a Peer Evaluation Committee as part of advisory evaluations. If advisory evaluations are conducted, the

Committee should identify aspects of the faculty member’s performance that may present problems when a tenure decision is due. Advisory evaluations have no formal consequences for decisions about contract renewal, tenure, or promotion.

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**~~Calendar of Events for Initial Two-Year Contract Review~~**

~~The dates listed below should be followed. If the date falls on a day that administrative offices are closed, the deadline will be the first day the offices reopen. Other relevant policies and procedures are found in the full Faculty Evaluation Plan.~~

|  |  |
| --- | --- |
| **~~DATE~~** | **~~EVENT OR DOCUMENT~~** |
| ~~September 7~~ | ~~Notification: The Department Chair notifies the faculty member, the Dean of the relevant school or college, and the Provost and Vice Chancellor for Academic Affairs that the evaluation is to be conducted.~~ |
| ~~November 15~~ | ~~Submission of Nomination Form: The faculty member submits the Peer Evaluation Committee (PEC) Nomination Form to the Department Chair.~~ |
| ~~November 30~~ | ~~PEC Formation: The Department Chair announces make-up of PEC.~~ |
| ~~Prior to end of the first semester~~ | ~~Student Evaluations: The faculty member conducts student evaluations of his or her courses. The Chair distributes the collated data and typed comments to the faculty member as soon as the faculty member’s final course grades have been submitted.~~ |
| ~~January 20~~  ~~February 3~~  ~~February-April 14~~ | ~~Submission of Materials: The faculty member submits all required materials to the Department Chair including the self-evaluation. Part 2, Section C of the self-evaluation should discuss the student data.~~  ~~Transmittal of Materials: The Department Chair meets with the PEC and gives the PEC the candidate's materials. The PEC meets and elects a chair.~~  ~~Observation of teaching: The Department Chair and members of PEC carry out observation of the faculty member’s teaching. If the faculty member is teaching online, provisions must be made for observation of online teaching.~~  ~~PEC Evaluation: The PEC deliberates on all materials, observations, etc., to reach a recommendation. A report is drafted and the PEC Tenure, Promotion and Renewal Form is completed.~~  ~~Chair’s Evaluation: The Department Chair prepares an independent report and completes the Tenure, Promotion, and Renewal form.~~ |
| ~~April 15~~ | ~~Reports Conveyed: The PEC and Department Chair convey their reports to the faculty member.~~ |
| ~~April 21~~ | ~~Faculty Signatures: The faculty member signs the reports from PEC and Department Chair, acknowledging content but not necessarily agreement.~~ |
| ~~April 22~~ | ~~Report Submission: Department Chair and PEC submit reports to the Dean of the relevant school or college. Any minority PEC report is also submitted.~~ |
| ~~May 1~~ | ~~Optional Rebuttal: The faculty member may submit a rebuttal of the PEC and/or Department Chair's report, if desired, to the Dean of the faculty member’s school or college.~~ |
| ~~August 30~~  ~~September 5~~  ~~September 15~~ | ~~Dean’s Evaluation Report: The Dean will prepare a Dean's Evaluation Report for each member in his or her school or college undergoing second year initial review, and complete the Dean’s Evaluation Report Form for each faculty member being evaluated. The Dean will convey the Dean’s report to the faculty member by August 30.~~  ~~Signing and Returning Dean's Evaluation Report: The faculty member has until this date to review the Dean’s evaluation materials, and to sign and return one copy to the Dean.~~  ~~Optional Rebuttal of Dean's Evaluation: If the Dean’s evaluation disagrees with that of the Department Chair or the PEC, the faculty member has until this date to submit a rebuttal of the Dean's evaluation to the Provost and Vice Chancellor for Academic Affairs.~~ |
| ~~September 15~~ | ~~Submission of Dean's Reports: The Dean is to submit the Dean's Evaluation Report, attaching all materials presented, to the Provost and Vice Chancellor for Academic Affairs.~~ |
| ~~November 1~~  ~~November 15~~  **~~(This date may not be altered)~~** | ~~Reappointment Decision: Following procedures in the UNCP Tenure Regulations, after conferring with the faculty member’s Department Chair, and with the Dean of the faculty member’s school or college the Provost and Vice Chancellor for Academic Affairs decides whether to reappoint the faculty member. The Provost and Vice Chancellor reports the decision to the Chancellor for information.~~  ~~Notification of Reappointment Decision: By November 15 of the second year, if the decision is not to reappoint an Assistant Professor, the Provost and Vice Chancellor for Academic Affairs provides written notice to the faculty member. Per Section 604.A of the UNC Code, “If a decision is not to reappoint, then failure to give timely notice of non-reappointment will oblige the Chancellor to offer a terminal appointment of one academic year.”~~ |

Calendar of Events for Initial ~~Three~~Four-Year Contract Review

The dates listed below should be followed. If the date falls on a day that administrative offices are closed, the deadline will be the first day the offices reopen. Other relevant policies and procedures are found in the full Faculty Evaluation Plan.

|  |  |
| --- | --- |
| **DATE** | **EVENT OR DOCUMENT** |
| Both semesters of the first year  Both semesters of the first year and once in second year  ~~September 7~~April 15 of the second year | Student Evaluations: The faculty member conducts student evaluations of his or her courses. The Chair distributes the collated data and typed comments to the faculty member after the faculty member’s final course grades have been submitted each semester.  Observation of teaching: The Department Chair carries out observation of the faculty member’s teaching. If the faculty member is teaching online, provisions must be made for observation of online teaching.  Notification: The Department Chair notifies the faculty member, the Dean of the relevant school or college, and the Provost and Vice Chancellor for Academic Affairs that the evaluation is to be conducted. |
| September 21 of the third year | Submission of Materials: The faculty member presents the Department Chair with documents required, including the Peer Evaluation Committee (PEC) Nomination Form. Part 2, Section C of the self-evaluation should discuss the student data. |
| September 30  October 3  October –January 14  January 15 | PEC Formation: The Department Chair announces make-up of PEC.  Transmittal of Materials: The Department Chair meets with the PEC and gives the PEC the candidate's materials. The PEC meets and elects a chair.  Observation of teaching: During the fall semester, the Department Chair and members of PEC carry out observation of the faculty member’s teaching. If the faculty member is teaching online, provisions must be made for observation of online teaching.  PEC Evaluation: The PEC deliberates on all materials, observations, etc., to reach a recommendation. A report is drafted and the PEC Tenure, Promotion and Renewal Form is completed.  Chair’s Evaluation: The Department Chair prepares an independent report and completes the Tenure, Promotion, and Renewal form.  Reports Conveyed: The PEC and Department Chair convey their reports to the faculty member. |
| January 20  January 21 | Faculty Signatures: The faculty member signs the reports from PEC and Department Chair, acknowledging content but not necessarily agreement.  Report Submission: Department Chair and PEC submit reports to the Dean of the relevant school or college. Any minority PEC report is also submitted |
| February 1 | Optional Rebuttal: The faculty member may submit a rebuttal of the PEC and/or Department Chair's report, if desired, to the Dean of the faculty member’s school or college. |
| February 15  February 20  March 3 | Dean’s Evaluation Report: The Dean will prepare a Dean's Evaluation Report for each member in his or her school or college undergoing ~~second~~third-year review, and complete the Dean’s Evaluation Report Form for each faculty member being evaluated. The Dean will convey his or her report to the faculty member by February 15.  Faculty Signature: The faculty member has until this date to review the Dean’s evaluation materials, and to sign and return one copy to the Dean.  Optional Rebuttal of Dean's Evaluation: If the Dean’s evaluation disagrees with that of the Department Chair or the PEC, the faculty member has until this date to submit a rebuttal of the Dean's evaluation to the Provost and Vice Chancellor for Academic Affairs. |
| March 3 | Submission of Dean's Reports: The Dean is to submit the Dean's Evaluation Report, attaching all materials presented, to the Provost and Vice Chancellor for Academic Affairs. |
| April 1 | Reappointment Decision: Following procedures in the UNCP Tenure Regulations, after conferring with the faculty member’s Department Chair and with the Dean of the faculty member’s school or college, the Provost and Vice Chancellor for Academic Affairs decides whether to reappoint the faculty member. The Provost and Vice Chancellor reports the decision to the Chancellor for information. |
| May 15  **(This date may not be altered)** | Notification of Reappointment Decision: By May 15 of the ~~second~~ third year of the probationary appointment, if the decision is not to reappoint an Assistant or Associate Professor, the Provost and Vice Chancellor for Academic Affairs provides written notice to the faculty member no later than this date. |